

SECRET

PATENT



2275  
Etzel 5-3-11-3-12-8-3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Etzel et al.

Serial No.: 09/120,763

Filed: July 22, 1998

For: METHOD AND APPARATUS FOR  
ENHANCED INCLUDING A CMEA  
ITERATION PRECEDED AND  
FOLLOWED BY  
TRANSFORMATIONS  
AND EMPLOYING AN  
INVOLUNTARY LOOKUP

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the date set forth below:

Signed: Marianna Tortorelli

Name: Marianna Tortorelli

Date: November 20, 1998

Group: 3642

Examiner: Not Assigned Yet

Chapel Hill, North Carolina  
November 20, 1998

Commissioner of Patents  
and Trademarks  
Washington, DC 20231

Attention: BOX MISSING PARTS

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION,  
FILING DATE GRANTED

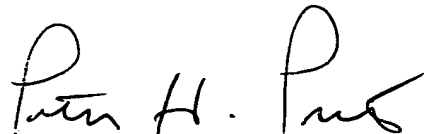
Sir:

Enclosed is a copy of the Notice to File Missing Parts of Application, Filing Date  
Granted dated August 11, 1998 and Declaration and Power of Attorney for the above identified  
case.

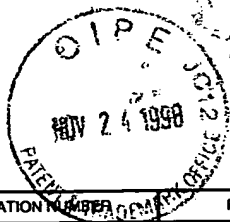
12/01/1998 6PM YME 0000005 122325 09120763  
02 FC:116 380.00 CH

Please charge the surcharge of \$130 and the fee for a two month extension of time of \$380 to Lucent Technologies Inc. Deposit Account No. 12-2325. This response petitions for a one month extension of the time to respond. A duplicate copy of this response is enclosed.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Peter H. Priest", written over a horizontal line.

Peter H. Priest  
Reg. No. 30,210  
Law Offices of Peter H. Priest  
529 Dogwood Drive  
Chapel Hill, NC 27516  
(919) 942-1434



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/520,763	07/22/98	ETZEL	M ETZEL-5-0-1

PETER H PRIEST  
529 BOWWOOD DRIVE  
CHAPEL HILL NC 27516

0242/9811

NOT ASSIGNED

DATE MAILED:

08/11/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted.**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.

- ☐ 1. The statutory basic filing fee is:
- ☐ missing.
  - ☐ insufficient.
- Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.
- \$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.
- \$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.
- \$ \_\_\_\_\_ for multiple dependent claim surcharge.
- Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☒ 3. The oath or declaration:
- ☒ is missing or unexecuted.
  - ☐ does not cover the newly submitted items.
  - ☐ does not identify the application to which it applies.
  - ☐ does not include the city and state or foreign country of applicant's residence.
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.
- See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
- ☐ 9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Best Available Copy

12/01/1998 6PM:11:05 00000005 120325 01 FC:105 130.00 CH